

**Eric J. Spitler**  
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**Employment**

**Adjunct Professor, University of North Carolina School of Law** (January 2020 – present)

- Developed and taught class on “Legal Response to Financial Crisis.”

**Principal, Delverton Strategies, LLC** (August 2016 – December 2016, December 2019 -- present)

- Provide advice and strategic direction regarding organizational structure, messaging and administration.
- Analyzed legislative affairs office of client financial entity.
- Provided guidance and strategic direction for future structure of client legislative and communications operations.

**Special Advisor to the CEO, FINRA** (December 2016 – December 2019)

- Managed major internal organizational review.
- Provided advice and strategic direction on internal and external communications and messaging.
- Directed special projects on behalf of the CEO.

**Visiting Lecturer, *Financial Law and Regulation: Practitioner's Perspective*, Duke University School of Law** (March 2018).

- Lectured on deposit insurance and failed financial institution resolution with an emphasis on the 2008 Financial Crisis and the Dodd-Frank Act.

**Director, Office of Legislative Affairs, Federal Deposit Insurance Corporation** (July 2012 – December 2015, October 2006 – August 2009).

- Advised the Chairman, Board of Directors, and senior management on agency policy, with particular emphasis on legislative matters, including proposed legislation, hearings, testimony, and congressional casework and correspondence.
- Directed all legislative activities of the FDIC, including witness and testimony preparation of numerous high profile hearings.
- Successfully developed and implemented strategies to ensure the inclusion of FDIC priorities in legislation.
- Represented the Chairman and FDIC internally and externally among FDIC offices, federal and state agencies, non-governmental organizations and other stakeholders.

**Counselor to the Chairman and Director, Office of Legislative and Intergovernmental Affairs, U.S. Securities and Exchange Commission** (August 2009 – July 2012).

- Provided strategic advice to the Chairman with an emphasis on congressional action and the appropriations process.
- Devised and implemented agency strategy during congressional consideration of the Dodd-Frank Wall Street Reform and Consumer Protection Act, including development of agency amendments and positions on proposed amendments.
- Prepared SEC officials for 77 high profile and often controversial congressional hearings, including 44 with the Chairman as the principle witness.
- Implemented strategy that resulted in increased appropriations funding for agency for three consecutive years and negotiated statutory change to reduce impact on agency from congressional deficit reduction efforts.

**Deputy Director, Office of Legislative Affairs, Federal Deposit Insurance Corporation** (July 1994 – October 2006).

- Worked closely with Director to provide strategic advice to the Chairman, Board of Directors and senior management on congressional matters.
- Handled highly sensitive matters (including all major nominations, office closings and congressional investigations) and negotiated acceptable language in pending legislation.
- Acted as senior legal advisor to the Director.
- Represented FDIC in speeches and presentation on legislative matters to FDIC conferences.

**Special Assistant to the Chairman, Federal Deposit Insurance Corporation** (May 1998 – October 1999).

- Served as senior confidential advisor to the Chairman and attended all briefings and meetings involving Chairman.
- Reviewed, prioritized, and summarized all correspondence and memoranda for the Chairman.
- Oversaw implementation of all Chairman's initiatives, including setting agenda for FDIC's main policy committee and for all meetings of the Board of Directors consistent with the Chairman's directions.
- Supervised speech preparation and advance, including international trips to Australia and Japan.

**Legislative Attorney and Advisor, Federal Deposit Insurance Corporation** (February 1991- July 1994).

- Edited testimony and prepared witnesses for congressional hearings.
- Analyzed legislation and identified issues of importance to the FDIC.
- Met with congressional staff to discuss FDIC concerns and negotiate acceptable provisions on major banking legislation, including the FDIC Improvement Act, the Riegle-Neal Act, and the RTC Completion Act.

**Legislative Director, Office of Rep. Elizabeth J. (Liz) Patterson** (April 1987 – February 1991).

- Directed all legislative operations of the office, including reviewing and approving all legislative and constituent correspondence.

**Associate, Neely & Player** (Atlanta, GA, September 1985 – April 1987).

- Engaged in general insurance defense litigation, including trying cases, arguing motions and negotiating settlements.

**Legislative Assistant, Office of Rep. Elliott H. Levitas** (May 1981 – August 1982).

- Drafted constituent correspondence, prepared and edited floor statements, and created briefing materials on pending legislation, with an emphasis on budget and tax matters.

### **Publications**

- *The Long Game: The Decade-Long Effort to Dismantle the Dodd-Frank Act*, 24 N.C. Banking Inst. 1 (2020).

### **Education**

**University of North Carolina School of Law** – J.D. with honors, 1985.

**Furman University** – B.A., *summa cum laude*, Political Science, 1981. Phi Beta Kappa, Harry S. Truman Scholarship (GA – 1979).