

Eric J. Spitler

Employment

Adjunct Professor, University of North Carolina School of Law (January 2020 – present)

- Developed and taught class on “Legal Response to Financial Crisis.”

Principal, Delverton Strategies, LLC (August 2016 – December 2016, December 2019 -- present)

- Provide advice and strategic direction regarding organizational structure, messaging and administration.
- Analyzed legislative affairs office of client financial entity.
- Provided guidance and strategic direction for future structure of client legislative and communications operations.

Special Advisor to the CEO, FINRA (December 2016 – December 2019)

- Managed major internal organizational review.
- Provided advice and strategic direction on internal and external communications and messaging.
- Directed special projects on behalf of the CEO.

Visiting Lecturer, *Financial Law and Regulation: Practitioner's Perspective*, Duke University School of Law (March 2018).

- Lectured on deposit insurance and failed financial institution resolution with an emphasis on the 2008 Financial Crisis and the Dodd-Frank Act.

Director, Office of Legislative Affairs, Federal Deposit Insurance Corporation (July 2012 – December 2015, October 2006 – August 2009).

- Advised the Chairman, Board of Directors, and senior management on agency policy, with particular emphasis on legislative matters, including proposed legislation, hearings, testimony, and congressional casework and correspondence.
- Directed all legislative activities of the FDIC, including witness and testimony preparation of numerous high profile hearings.
- Successfully developed and implemented strategies to ensure the inclusion of FDIC priorities in legislation.
- Represented the Chairman and FDIC internally and externally among FDIC offices, federal and state agencies, non-governmental organizations and other stakeholders.

Counselor to the Chairman and Director, Office of Legislative and Intergovernmental Affairs, U.S. Securities and Exchange Commission (August 2009 – July 2012).

- Provided strategic advice to the Chairman with an emphasis on congressional action and the appropriations process.

- Devised and implemented agency strategy during congressional consideration of the Dodd-Frank Wall Street Reform and Consumer Protection Act, including development of agency amendments and positions on proposed amendments.
- Prepared SEC officials for 77 high profile and often controversial congressional hearings, including 44 with the Chairman as the principle witness.
- Implemented strategy that resulted in increased appropriations funding for agency for three consecutive years and negotiated statutory change to reduce impact on agency from congressional deficit reduction efforts.

Deputy Director, Office of Legislative Affairs, Federal Deposit Insurance Corporation (July 1994 – October 2006).

- Worked closely with Director to provide strategic advice to the Chairman, Board of Directors and senior management on congressional matters.
- Handled highly sensitive matters (including all major nominations, office closings and congressional investigations) and negotiated acceptable language in pending legislation.
- Acted as senior legal advisor to the Director.
- Represented FDIC in speeches and presentation on legislative matters to FDIC conferences.

Special Assistant to the Chairman, Federal Deposit Insurance Corporation (May 1998 – October 1999).

- Served as senior confidential advisor to the Chairman and attended all briefings and meetings involving Chairman.
- Reviewed, prioritized, and summarized all correspondence and memoranda for the Chairman.
- Oversaw implementation of all Chairman's initiatives, including setting agenda for FDIC's main policy committee and for all meetings of the Board of Directors consistent with the Chairman's directions.
- Supervised speech preparation and advance, including international trips to Australia and Japan.

Legislative Attorney and Advisor, Federal Deposit Insurance Corporation (February 1991-July 1994).

- Edited testimony and prepared witnesses for congressional hearings.
- Analyzed legislation and identified issues of importance to the FDIC.
- Met with congressional staff to discuss FDIC concerns and negotiate acceptable provisions on major banking legislation, including the FDIC Improvement Act, the Riegle-Neal Act, and the RTC Completion Act.

Legislative Director, Office of Rep. Elizabeth J. (Liz) Patterson (April 1987 – February 1991).

- Directed all legislative operations of the office, including reviewing and approving all legislative and constituent correspondence.

Associate, Neely & Player (Atlanta, GA, September 1985 – April 1987).

- Engaged in general insurance defense litigation, including trying cases, arguing motions and negotiating settlements.

Legislative Assistant, Office of Rep. Elliott H. Levitas (May 1981 – August 1982).

- Drafted constituent correspondence, prepared and edited floor statements, and created briefing materials on pending legislation, with an emphasis on budget and tax matters.

Publications

- *The Long Game: The Decade-Long Effort to Dismantle the Dodd-Frank Act*, 24 N.C. Banking Inst. 1 (2020).
- *The Supreme Court's Major Questions Doctrine: Implications for Responding to Financial Crises*, 27 N.C. Banking Inst. 1 (2023)

Education

University of North Carolina School of Law – J.D. with honors, 1985.

Furman University – B.A., *summa cum laude*, Political Science, 1981. Phi Beta Kappa, Harry S. Truman Scholarship (GA – 1979).